



GEF SECRETARIAT REVIEW FOR FULL/MEDIUM-SIZED PROJECTS* THE GEF/LDCF/SCCF/NPIF TRUST FUNDS

GEF ID:	5378		
Country/Region:	Brazil		
Project Title:	Fourth National Communication and Biennial Update Reports to the United Nations Framework Convention on Climate Change (UNFCCC)		
GEF Agency:	UNDP	GEF Agency Project ID:	5187 (UNDP)
Type of Trust Fund:	GEF Trust Fund	GEF Focal Area (s):	Climate Change
GEF-5 Focal Area/ LDCF/SCCF Objective (s):	CCM-6;		
Anticipated Financing PPG:	\$0	Project Grant:	\$7,528,500
Co-financing:	\$22,735,500	Total Project Cost:	\$30,264,000
PIF Approval:		Council Approval/Expected:	
CEO Endorsement/Approval		Expected Project Start Date:	
Program Manager:	Rawleston Moore	Agency Contact Person:	Oliver Page

Review Criteria	Questions	Secretariat Comment at PIF (PFD)/Work Program Inclusion	Secretariat Comment At CEO Endorsement(FSP)/Approval (MSP)
Eligibility	1. Is the participating country eligible ?	Brazil is eligible to receive resources.	
	2. Has the operational focal point endorsed the project?	An endorsement letter from the operational focal is on file.	
Resource Availability	3. Is the proposed Grant (including the Agency fee) within the resources available from (mark all that apply):		
	• the STAR allocation?	The resources are available in the STAR Allocation.	
	• the focal area allocation?		
	• the LDCF under the principle of equitable access		
	• the SCCF (Adaptation or Technology Transfer)?		

	Fund		
	• focal area set-aside?	US\$852,000 is available from the focal area set aside for this project.	
Strategic Alignment	4. Is the project aligned with the focal area/multifocal areas/ LDCF/SCCF/NPIF results framework and strategic objectives ? <i>For BD projects: Has the project explicitly articulated which Aichi Target(s) the project will help achieve and are SMART indicators identified, that will be used to track progress toward achieving the Aichi target(s).</i>	The project is aligned with GEF CCM results framework. The project once successfully implemented will assist Brazil to prepare its fourth national communications and submit biennial update reports to the UNFCCC.	
	5. Is the project consistent with the recipient country's national strategies and plans or reports and assessments under relevant conventions, including NPFE, NAPA, NCSA, NBSAP or NAP?	The project is consistent with national strategies and plans in Brazil, for example the National Plan on Climate Change.	
Project Design	6. Is (are) the baseline project(s) , including problem(s) that the baseline project(s) seek/s to address, sufficiently described and based on sound data and assumptions?	Yes. The project responds to a requirement which countries have, to prepare national communications to the UNFCCC.	
	7. Are the components, outcomes and outputs in the project framework (Table B) clear, sound and appropriately detailed?	The components, outcome and outputs in the project framework table are clear and sound.	
	8. (a) Are global environmental/ adaptation benefits identified? (b)	The project will assist in preparing the national communications for Brazil and	

	incremental/additional reasoning sound and appropriate?	additional reasoning.	
	9. Is there a clear description of: a) the socio-economic benefits , including gender dimensions, to be delivered by the project, and b) how will the delivery of such benefits support the achievement of incremental/ additional benefits?		
	10. Is the role of public participation, including CSOs, and indigenous peoples where relevant, identified and explicit means for their engagement explained?	Information on public participation, including CSOs and indigenous people, has been provided. Further information should be provided by CEO Endorsement.	
	11. Does the project take into account potential major risks, including the consequences of climate change, and describes sufficient risk mitigation measures? (e.g., measures to enhance climate resilience)	No major risks have been identified for the implementation of this project, other than delays due to coordination with a large number of stakeholders. Mitigation measures have been identified to minimize any delays in coordination amongst institutions for the implementation of the project.	
	12. Is the project consistent and properly coordinated with other related initiatives in the country or in the region?	The project is consistent and properly coordinated with other initiatives in Brazil.	
	13. Comment on the project's innovative aspects, sustainability, and potential for scaling up. <ul style="list-style-type: none"> • Assess whether the project is innovative and if so, how, and if not, why not. • Assess the project's strategy for sustainability, and the likelihood of achieving this based on GEF and Agency 	The project is designed to allow Brazil to fulfill its commitments to the UNFCCC.	

	<ul style="list-style-type: none"> Assess the potential for scaling up the project's intervention. 		
	14. Is the project structure/design sufficiently close to what was presented at PIF, with clear justifications for changes?		
	15. Has the cost-effectiveness of the project been sufficiently demonstrated, including the cost-effectiveness of the project design as compared to alternative approaches to achieve similar benefits?		
Project Financing	16. Is the GEF funding and co-financing as indicated in Table B appropriate and adequate to achieve the expected outcomes and outputs?	The GEF funding is appropriate and adequate to achieve the expected outcome and outputs.	
	17. <u>At PIF</u> : Is the indicated amount and composition of co-financing as indicated in Table C adequate? Is the amount that the Agency bringing to the project in line with its role? <u>At CEO endorsement</u> : Has co-financing been confirmed?	As this is an enabling activity co-financing is not required.	
	18. Is the funding level for project management cost appropriate?	The level for project management costs is appropriate.	
	19. <u>At PIF</u> , is PPG requested? If the requested amount deviates from the norm, has the Agency provided adequate justification that the level requested is in line with project design needs? <u>At CEO endorsement/ approval</u> , if PPG is completed, did Agency report on the activities using the PPG fund?	There is no PPG request for this project.	

	20. If there is a non-grant instrument in the project, is there a reasonable calendar of reflows included?	N/A	
Project Monitoring and Evaluation	21. Have the appropriate Tracking Tools been included with information for all relevant indicators, as applicable?		
	22. Does the proposal include a budgeted M&E Plan that monitors and measures results with indicators and targets?		
Agency Responses	23. Has the Agency adequately responded to comments from:		
	• STAP?		
	• Convention Secretariat?		
	• The Council?		
	• Other GEF Agencies?		
Secretariat Recommendation			
Recommendation at PIF Stage	24. Is PIF clearance/approval being recommended?	The PIF has been technically cleared and may be included in an upcoming Work Program.	
	25. Items to consider at CEO endorsement/approval.	The CEO endorsement is contingent upon the submission of the third national communication and the first biennial update report to the UNFCCC Please provide further information on the applicability of the Brazilian Earth System Model to other countries in the region.	
Recommendation at CEO Endorsement/ Approval	26. Is CEO endorsement/approval being recommended?		
	First review*		
Review Date (s)	Additional review (as necessary)		
	Additional review (as necessary)		

*** This is the first time the Program Manager provides full comments for the project. Subsequent follow-up reviews should be recorded. For specific comments for each section, please insert a date after comments. Greyed areas in each section do not need comments.**